- 1 A. Then I was made I believe a director.
- 2 Q. Of what?
- 3 A. Of software systems.
- 4 Q. How long did you hold that?
- A. I think I held that for about two years.
- Q. Okay. And then what after that?
- 7 A. Briefly, I was a director of retail systems.
- Q. Okay. And how long was that?
- 9 A. Perhaps, a year.
- 10 Q. And then what did you do?
- 11 A. Then I really reverted to a director of
- 12 software systems.
- 13 Q. And how long were you in that position?
- 14 A. I think about a year.
- Q. And then what position did you hold?
- 16 A. Senior technology advisor.
- 17 Q. And was that the final position you held?
- 18 A. Yes.
- Q. And for how long did you hold that position?
- 20 A. The remainder of my tenure there. So two or
- 21 three years.
- Q. So was it during the time that you were senior
- 23 technology advisor that you became involved in the
- 24 migration of AutoZone's computer system from Unix based
- operating system to a Linux based operating system?

- 1 MR. STEWART: Object to form.
- 2 Q. (BY MR. STONE) You can answer.
- 3 A. Yes.
- Q. Have you ever been a party in a lawsuit,
- 5 either a plaintiff or a defendant?
- 6 A. Yes.
- 7 O. What lawsuit?
- 8 A. I don't recall the specifics of it. I was --
- 9 I was part of a defendant in a lawsuit regarding a
- 10 boating accident that never actually went to trial.
- 11 Q. Have you ever been a plaintiff in a lawsuit
- 12 before?
- 13 A. No.
- 14 Q. Have you ever been arrested?
- 15 A. Arrested? No.
- 16 O. So I take it, then, you've never been
- 17 convicted of a crime. Is that correct?
- 18 A. Correct.
- 19 Q. Okay. I want to ask you your understanding of
- some terms, because I'm going to ask you about them, and
- 21 I want to make sure that I understand what your
- 22 understanding of them is when we go through these
- 23 questions. Okay?
- 24 A. Okay.
- Q. What is your understanding of what a library

- 1 began your migration work from the SCO operating system
- 2 to Linux operating system for AutoZone, had you looked
- 3 at the SCO contracts?
- 4 A. Yes.
- 5 Q. Do you remember which ones you looked at?
- 6 A. No.
- 7 Q. Okay. After you began your migration work
- 8 from Linux -- sorry -- from SCO operating system to
- 9 Linux operating system, did you look at those contracts?
- 10 A. No.
- 11 Q. So whatever you knew about those contracts,
- 12 you knew at the time you began the migration. Is that
- 13 correct?
- 14 A. Whatever I knew, yes.
- Q. And since that time, have you looked at those
- 16 contracts?
- 17 A. No.
- Q. Did you consult copyright counsel before
- 19 beginning the work that you did on moving, transitioning
- 20 AutoZone from SCO's Unix based operating system to a
- 21 Linux based operating system?
- 22 A. No.
- Q. Had you taken any copyright law courses in any
- of the schools that you attended prior to beginning your
- 25 migration work?

- 1 A. No.
- 2 Q. Did you insure that the programmers that
- 3 worked on the migration from SCO operating system to
- 4 Linux operating system had not previously had access to
- 5 the SCO operating system?
- 6 MR. STEWART: Object to form.
- 7 A. Please explain had access to.
- 8 Q. (BY MR. STONE) Well, worked with the binary
- 9 code of the SCO operating system.
- 10 A. Worked with the binary code.
- 11 Q. Yes.
- 12 A. They had used the binary code. They had
- 13 executed SCO applications.
- Q. Had they created -- had any of the programmers
- 15 that worked with you created applications to work with
- 16 the SCO Open Server prior to working on the migration?
- 17 A. Yes. All.
- Q. All of them had?
- 19 A. All of them had.
- Q. Okay. Getting back to my original question,
- 21 I'm trying to understand in sort of laymen's terms, as
- 22 best I can, this revision control system and what was on
- 23 it. So were there -- was there any portion of the SCO
- Open Server code on the revision control system?
- MR. STEWART: Object to form. You can

- 1 Q. Have you ever heard of a UDK?
- 2 A. I have.
- 3 O. What is a UDK?
- 4 A. In the context of SCO, I believe it is an
- 5 acronym for Universal Development Kit.
- Q. And was that something that AutoZone purchased
- 7 from SCO?
- 8 A. I believe so.
- 9 Q. And do you understand that there was a license
- 10 that went with that that put restrictions on what you
- 11 could do with those products?
- 12 A. I'm not aware of the terms of that license.
- 13 Q. Is that the kit that you believed AutoZone
- 14 programmers would use to create applications that would
- work on Open Server?
- 16 A. Yes.
- Q. So looking back at Exhibit 2 here for a
- 18 second, do you know whether or not you copied any of the
- 19 libraries listed on Exhibit 2 either into an application
- or on to the system that was running Linux?
- 21 A. No. I did not copy any of these libraries on
- 22 to the system running Linux.
- Q. Well, would it be fair to say you don't
- 24 know -- to the extent one of these libraries may be
- 25 contained in those third-party applications, you don't

- of -- the portions necessary became part of AutoZone
- 2 applications.
- 3 Q. Now, did you review each of the AutoZone
- 4 libraries that you ported to Linux to determine whether
- 5 there was any SCO code in them?
- 6 A. Generally, I did not review line by line of
- 7 the AutoZone libraries. Instead, I took an optimistic
- 8 approach. I attempted to compile the library. And if
- 9 the library compile succeeded, then I would attempt to
- 10 use it and test the specific behavior.
- Under Linux, the version of the complier being used
- 12 at the time, there were more informative warning
- 13 messages and the like that were produced during
- 14 compilation than we typically used under SCO. So I
- would attempt to resolve all of the warning and errors
- 16 that I saw in the course of compiling the library before
- 17 using it.
- Q. So would one of these warning errors be that
- 19 this is copyrighted code or something?
- 20 A. No. The warning might be that some particular
- 21 syntax of the C programming language was being abused or
- 22 the like. So this was more in terms of source code not
- 23 complying to typically observed rules.
- Q. So getting back to my question, you didn't go
- 25 line by line through every AutoZone library to see if

- there was any SCO code in it. Correct?
- 2 A. Correct.
- 3 Q. And I take it you didn't go line by line
- 4 through any of the AutoZone libraries to see if it had
- 5 any links to SCO libraries in it?
- 6 A. No.
- 7 Q. How many applications that you ported to Linux
- 8 used the ICBS module that you referred to before?
- 9 A. By the time I finished the port, there were
- 10 the two third-party applications. Initially, there was
- 11 an AutoZone application. And I was able to find the
- 12 source code to that application and convert it. So my
- 13 understanding currently is that there would be two
- 14 applications that used or would use the IBCS
- 15 functionality or whatever its current incarnation is.
- 16 Q. All right.
- 17 A. If they are being used.
- Q. Did you -- was it your understanding that the
- 19 conversion that was going to occur for AutoZone stores
- 20 would involve also the other subsidiaries that AutoZone
- 21 owned?
- 22 A. The purpose of the project I was involved in
- 23 was to convert the AutoZone domestic stores. There was
- 24 substantially greater work involved in some of the other
- 25 subsidiary or one of the other subsidiaries of the

- 1 A. To my knowledge, some progress was made on
- 2 that, yes. I don't know even the particulars of --
- 3 Q. You don't know how many stores right now?
- A. I cannot tell you right now for instance if
- 5 there are stores still running SCO Open Server or not in
- 6 the domestic AutoZone store chain.
- 7 Q. Would it be fair to say that at no time during
- 8 this process that you were planning the migration, that
- 9 you were performing the migration through the point of
- 10 time that you left the company did you consult any
- 11 copyright lawyer about issues of copyright with regard
- to the migration that you were doing?
- 13 MR. STEWART: Object to form. You can
- 14 answer.
- 15 A. I did not.
- Q. (BY MR. STONE) What was Red Hat's involvement
- in the migration? Were there people from Red Hat that
- 18 assisted you?
- 19 A. Red Hat's involvement in the migration was
- 20 twofold. In part, we had a -- we ended up signing a
- 21 support agreement with Red Hat. Actually, threefold.
- 22 So we had a support contract with Red Hat that provided
- 23 us access to one of their support engineers. We also
- 24 got training as part of that contract, which is how I
- 25 went to the Red Hat certified engineering course, along

- 1 don't believe this is my document. No. This also
- 2 discusses -- this discusses some other issues that I
- 3 don't recall specifically.
- Okay. I take that back. I believe this is my
- 5 document.
- Q. So is that a portion of what you were talking
- 7 about of this spreadsheet that you were talking about?
- 8 A. Well, this was -- this was one of the
- 9 spreadsheets. There was a different spreadsheet I had
- 10 that discussed -- you know, was actually what I was
- using to create estimates or to try to calculate how
- 12 much additional time was remaining.
- MR. STONE: Well, we have asked for that
- in our document request, and I presume if you have it
- somewhere, you'll produce it to us?
- MR. STEWART: We will.
- 17 Q. (BY MR. STONE) Okay. That's the only
- 18 question I had about that for the moment.
- 19 A. Okay.
- Q. Let's get back to Exhibit 1, if you've got it
- 21 there.
- 22 A. Yes.
- Q. This is the posting that you made on GROK web.
- 24 Correct?
- 25 A. Correct.

- 1 Q. I just want to go through some of the
- 2 statements you make and make sure I understand them.
- 3 A. Okay.
- 4 Q. It's correct that you participated, led in the
- 5 design development and maintenance of many of AutoZone
- 6 store systems?
- 7 A. Correct.
- 8 Q. Were you essentially the chief technical
- 9 person responsible for the migration of AutoZone's
- 10 operating system from a SCO Unix based system to Linux
- 11 based system?
- 12 A. I was.
- 13 Q. Then you say, I initiated AutoZone transition
- 14 to Linux?
- 15 A. Uh-huh (affirmative response).
- 16 Q. Do you mean by that that you were the one who
- 17 suggested it, or do you mean that you actually did it?
- 18 What do you mean by initiated it?
- 19 A. I did initiate it in the literal sense of
- 20 beginning the porting activity, and I was the chief
- 21 advocate of doing so.
- Q. Then you say I directed the port of their
- 23 existing store software base to Linux.
- 24 A. Correct.
 - 5 Q. That's fairly self-explanatory. Port means

- have those marked together. 1
- There were certain errors in our applications that 2
- we uncovered during the course of the porting activity, 3
- and many of these were common. You know, they were --4
- we had a fixed population of developers. 5
- Right. 0. 6
- Often times developers make the same mistake Α.
- over and over again.
- Right. 0.
- And so we saw across our source code base some 9
- 10 of the same errors of the kind. And so I, you know, 11
- would inform them, you know, if you begin to test the 12
- application and you see this behavior, here's some sorts 13
- of thing to look for. You know, when I fixed it, here's 14
- what I did to fix it. 15
- Were these rules written down in any one 16
- place, or were they sort of rules that you developed all 17
- along and communicated at different times? 1.8
- They were developed across the time of 19
- porting, because typically we would run into a new 20
- And I communicated those chiefly by e-mails problem. 21
- or, you know, walking around and informing the 22
- developers here's a particular scenario, here's what 23
- needs to be done to correct this scenario. 24
- Would it be fair to say that the rules you're 0. 25

- talking about are rules relating to programming as
- opposed to rules relating to, you know, what copyrighted 1 2
- codes not to copy or things like that? 3
- Correct. Α.
- This was, you know, when you look at the 4 5
- source code, if it does this, do this, or you know, look 6
- out for this, that kind of thing. Is that right? 7
- correct. A.
- Okay. You had 35 AutoZone developers 8 0. 9
- performing work for you? 1.0
- I think at one point at the height of it, I
- had about 35 people working for me. I had staff that 11 12
- represented people would have worked on, you know, all 13
- of the applications in AutoZone store system. So I 14
- think at the height of it, yes. 15
- And you say much of which was trivial, given 16
- that our code did not generally rely on SCO's specific 17
- features, you're talking about the AutoZone applications 18
- 19
- Right. AutoZone's applications tended not to there? 20
- exercise really the more sophisticated features that 21
- might be available in SCO, even SCO Open Server, for 22
- instance. 23
- It was fairly basic applications. Is that 0. 24
- right? 25

- 1 file, you can periodically go through and delete things
- 2 out of that file, out of that location.
- 3 Q. And then you go on to say there are only three
- 4 executables that you be compiled for SCO in the Linux
- 5 store. By the way, have you seen this e-mail before
- 6 today?
- 7 A. I don't recall seeing this e-mail since, you
- 8 know, any of this has been written. No.
- 9 Q. Okay. I mean, I guess you saw it at the time
- 10 you wrote it.
- 11 A. Yeah. I saw it when I received it. But no, I
- 12 haven't seen this e-mail since 2001.
- 13 Q. It says there's only three executables that
- 14 you be compiled for SCO in a Linux store. Do you mean
- that must be compiled or that should be compiled?
- 16 A. I think I was mentioning that there were these
- 17 three that I was aware of that at the time I had not
- 18 compiled natively. Compx is the compression utility I
- 19 mentioned before.
- 20 Q. Right.
- 21 A. Decompx is a decompression utility.
- 22 Q. Right.
- 23 A. Surprise. And drun was a utility for
- 24 running -- it was kind of running a fourth generation
- language set of executables.

- 1 Q. Was drun another one of these third party
- 2 executables?
- 3 A. I don't know its real providence. It was
- 4 present at AutoZone when I started working there. We
- 5 used to use a -- AutoZone developers chiefly used to
- 6 write in an obscure kind of four GEL, three GEL type
- 7 language. I've never seen it anywhere else. I've never
- 8 met anyone who's ever heard of it, eve.
- 9 And much like one compiles C programs, you would
- 10 run it to pay particular utility on these files. And it
- 11 would produce kind of an executable format. And then
- 12 you would use this drun utility to actually then execute
- 13 those files.
- And at the time, you know, in the beginning of the
- 15 port, I didn't know whether there was another program
- 16 where I did not know the source to it. And ultimately,
- 17 I found the source to it in its companion program in the
- one that one uses to compile, in essence. And I chose
- 19 to port drun, and I chose not to comport its companion
- 20 utility to encourage people to move away from using
- 21 that.
- 22 Q. So you recompiled drun?
- 23 A. Yeah. I ultimately found the source to drun
- 24 and was able to compile it.
- 25 Q. So compx and dcompx are the two that we were

- 1 talking about earlier that are by a third party.
- 2 Correct?
- 3 A. Yeah. That's why I said, you know, in the
- 4 beginning, I was aware of three programs. And then, you
- 5 know, ultimately after I had installed that I found this
- 6 source to drun, this utility. So compx and dcompx are
- 7 third-party software.
- Q. And that's the software that you didn't have
- 9 the source code for?
- 10 A. Correct.
- 11 MR. STONE: Let's break for lunch.
- 12 (LUNCH BREAK)
- 13 MR. STONE: Let's mark that.
- 14 (WHEREUPON, THE ABOVE-MENTIONED
- DOCUMENT WAS MARKED AS EXHIBIT NO. 17,
- AND IS HERETO ATTACHED.)
- Q. (BY MR. STONE) I'll show you what's been
- 18 marked Exhibit 17 for identification. It's an e-mail
- 19 dated August 27th, 2001. You see that?
- 20 A. I do.
- 21 Q. My question is about in the middle there. It
- 22 says something about 99.44 percent of all of our
- 23 programs don't have a separate SCO and Linux version.
- 24 What does that mean?
- 25 A. I thin the 99 and 44 percent is my attempt at

- 1 A. Yes.
- 2 Q. And you believe as of the time you left, that
- 3 there were these six stores running, or were there more
- 4 than the six stores?
- A. At the time I left, there were the six stores
- 6 running.
- 7 Q. Okay.
- 8 A. I believe that's right.
- 9 Q. At the time that you were doing this, did you
- 10 get contacted by any other engineers asking you about
- 11 how you were converting from Unix to Linux?
- 12 A. I'm sorry. When you say other --
- 13 Q. I mean from other companies or not from
- 14 AutoZone.
- 15 A. I don't -- I don't recall being asked by other
- 16 engineers how we were performing work, no.
- 17 Q. Do you recall discussing that you were
- 18 performing work with other engineers?
- 19 A. It may have come up. I'm not certain. It may
- 20 have come up.
- Q. Do you recall any specific conversations you
- had or people that you spoke to?
- 23 A. I don't recall any specifics, no.
- Q. Have you spoken with any of the attorneys that
- 25 represent IBM?

- 1 A. I have spoken with one of the attorneys at
- 2 IBM.
- 3 Q. Who did you speak with?
- A. Gosh, his name is -- his name is Cook, but I
- 5 can't really recall his name.
- Q. Did he tell you he was an in-house attorney or
- 7 from a law firm?
- 8 A. He did not identify whether he was in-house
- 9 counsel or not.
- 10 Q. Do you remember his first name?
- 11 A. No. I'm sorry. I don't.
- 12 Q. When did you speak to him?
- 13 A. That was -- that would have been several
- 14 months ago, this year but several months ago, around the
- 15 time of my -- around the time of my posting to Groklaw.
- 16 So earlier this year.
- Q. Around February of this year?
- 18 A. Yes.
- 19 Q. What did he say to you and did you say to him
- 20 as best as you recall?
- 21 A. I explained to him -- I asked him if he was
- 22 aware that there was a online site called Groklaw. I
- 23 told him that I had made a posting to that site. I
- 24 explained that my post was in reference to one of the
- 25 sections of a document describing a lawsuit between SCO

- 1 and IBM and said that I wanted to make sure he was aware
- of my statement. And he thanked me for telling him, and
- 3 he asked for my contact information, and that was it.
- Q. Did he call you or did you call him?
- 5 A. I called him.
- 6 Q. How did you get his number?
- 7 A. I got an e-mail with his phone number.
- 8 Q. Somebody sent you an e-mail with his phone
- 9 number?
- 10 A. Yes.
- 11 Q. Who sent you an e-mail?
- 12 A. The person who runs the Groklaw site.
- 13 Q. PJ or something like that? Do you know her
- 14 name?
- 15 A. I don't know her real name, her legal name.
- 16 But, you know, she's called PJ.
- 17 Q. So she sent you an e-mail with the phone
- 18 number for attorneys for IBM?
- 19 A. Yes.
- Q. Do you still have that e-mail?
- 21 A. No. I don't believe I do. That was on a home
- 22 machine that I've reformatted and reinstalled Linux on?
- MR. STEWART: Can you take a look for it?
- THE WITNESS: I can look.
- MR. STONE: Yeah. We'll include that in